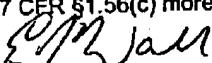


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)				Docket No. Centanni 2-32-9-22-5-7
In re Application of: Centanni et al.				
Serial No. 10/734,803	Filing Date 12/12/03	Examiner Nathan M. Curs	Group Art Unit 2613	
Title: Method and Apparatus for Multi-Band Optical Switching Using Multi-Pump Parametric Devices				
Address to: Commissioner for Patents Alexandria, VA 22313-1450			RECEIVED CENTRAL FAX CENTER	
JAN 08 2007				
37 CFR 1.97(b)				
<p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p>				
37 CFR 1.97(c)				
<p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.</p>				
37 CFR 1.97(d)				
<p>3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.</p>				
Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)				
<p><input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))</p>				
<p><input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))</p>				
<p><input checked="" type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiency to deposit account number 20-0782. \$180</p>				
37 CFR §1.704(d)				
<p>4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR 51.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.</p>				
 Eamon J. Wall Reg. No. 39,414 Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Avenue, Suite 100 Shrewsbury, New Jersey 07702 732-530-9404				
<p>Dated: <u>1/8/07</u></p>				
<p>Certificate of Mailing by First Class Mail</p>				
<p>I certify that this document is being deposited on with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.</p>				
<p><i>[Signature]</i> Signature of Person Mailing Correspondence</p>				
<p>Typed or Printed Name of Person Mailing Correspondence</p>				

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PAGE 14/15 * RCVD AT 1/8/2007 2:53:28 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/18 * DNIS:2738300 * CSID:+17325309808 * DURATION (mm:ss):04:48

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(*any sheets as necessary*)

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U.S. Patent & Trademark Office Refused to respond to a collection of inquiries	
Complete if Known	
Application Number	10/734,803
Filing Date	12/12/03
First Named Inventor	Centanni
Art Unit	2613
Examiner Name	Nathan M. Curs
Attorney Docket Number	Centanni 2-32-9-22-5-7

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Date Considered _____

Examiner Signature **Date Considered** **Comments**

-EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language translation is attached.

1. 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the Office) a patent application under 37 CFR 1.14. This collection is estimated to take 2 hours to complete.
2. 37 CFR 1.14. Any comments on this collection should be directed to the Office of the Chief Counsel, U.S. Patent and Trademark Office, Washington, D.C. 20591-0000.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be provided. Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be provided. This collection is estimated to take 2 hours to complete. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. P.O. Box 1450, Alexandria, VA 22313-1450. 533832

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Call 703-305-9199 and select option 2.

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